

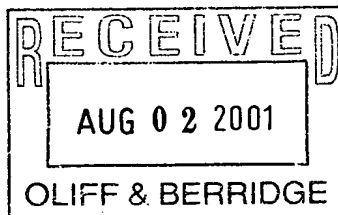


## UNITED STATES PATENT AND TRADEMARK OFFICE

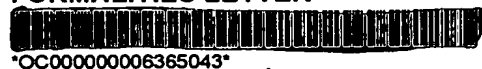
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,126	07/10/2001	Ichio Yudasaka	040090.02

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, VA 22320



CONFIRMATION NO. 8942  
FORMALITIES LETTER



\*OC000000006365043\*

*September 30, 2001*

Date Mailed: 07/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED  
By JB on 8/2 2001  
and  
By PAR on 8/2 2001  
Oliff & Berridge

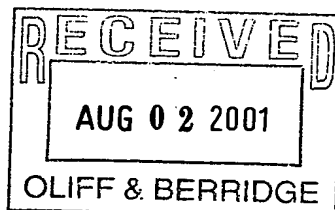


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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/901,126	07/10/2001	2822	1428	040090.02	39	51	5

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, VA 22320



CONFIRMATION NO. 8942

## FILING RECEIPT



\*OC000000006365042\*

Date Mailed: 07/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ichio Yudasaka, Residence Not Provided;  
Tatsuya Shimoda, Residence Not Provided;  
Sadao Kanbe, Residence Not Provided;  
Wakao Miyazawa, Residence Not Provided;

← Residence  
Missing

## Assignment For Published Patent Application

Seiko Epson Corporation;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/325,567 06/04/1999  
WHICH IS A CON OF 08/983,036 02/13/1998 PAT 5,989,945

## Foreign Applications

JAPAN 8-120653 05/15/1996  
JAPAN 8-248071 09/19/1996  
JAPAN 8-303387 11/14/1996

If Required, Foreign Filing License Granted 07/31/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Thin film device provided with coating film, liquid crystal panel and electronic device, and method for making the thin film device

**Preliminary Class**

257

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**Data entry by : TAN, LEA-YUET**

**Team : OIPE**

**Date: 07/31/2001**



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Washington, DC 20231

PTO RECEIPT FOR FILING PAPERS

The following papers have been filed:

- 1) Continuing Application Transmittal Rule 1.53(b) w/check #120770 (\$1428), copy of U.S.S.N. 09/325,567 (116 pages: 99 page specification, 16 pages of claims, 1 page abstract, 39 sheets of drawings - Figures 1-44);
- 2) Preliminary Amendment;
- 3) Information Disclosure Statement w/1449 & U.S.P. 6,087,196.

Name of Applicant:

Ichio YUDASAKA, Tatsuya SHIMODA, Sadao KANBE & Wakao MIYAZAWA

Serial No.:

New Rule 1.53(b) Divisional of Application No. 09/325,567

Atty. File No.:

040090.02

Title (New Cases):

THIN FILM DEVICE PROVIDED WITH COATING FILM, LIQUID CRYSTAL PANEL AND ELECTRONIC DEVICE, AND METHOD FOR MAKING THE THIN FILM DEVICE

Sender's Initials:

JAO:EDM/gam

FAX TO CLIENT



**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

1) Continuing Application Transmittal Rule 1.53(b) w/check #120770 (\$1428), copy of U.S.S.N. 09/325,567 (116 pages: 99 page specification, 16 pages of claims, 1 page abstract, 39 sheets of drawings - Figures 1-44);

2) Preliminary Amendment;

3) Information Disclosure Statement w/1449 & U.S.P. 6,087,196.

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**Name of Applicant:** Ichio YUDASAKA, Tatsuya SHIMODA, Sadao KANBE & Wakao MIYAZAWA

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**Serial No.:** New Rule 1.53(b) Divisional of Application No. 09/325,567

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**Atty. File No.:** 040090.02

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**Title (New Cases):** THIN FILM DEVICE PROVIDED WITH COATING FILM, LIQUID CRYSTAL PANEL AND ELECTRONIC DEVICE, AND METHOD FOR MAKING THE THIN FILM DEVICE

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**Sender's Initials:** JAO:EDM/gam

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